Form PTO-1449 (Modified)	Atty Docket No. 42390p11376	Application No.	0.S. PTO
List of Patents & Publications Statement	Applicant(s): Christopher Chappell, et al.		U.S. 1
(Use several sheets if necessary)	Filing Date:	Group No.:	0.46

U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub- class	Trans Yes	slation No
	AL							
	AM	·						
	AN							
	AO							
	AP			•				
	AQ							

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials		Document Identification
ANIM	AR	Data-Over Cable Service Interface Specifications; Radio Frequency Interface Specification.
	AS	
.,	AT	

Examiner: Malan gogilia Date Considered: 4/29/04

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ubstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number 09/903,334				
Filing Date	July 10, 2001			
First Named Inventor	Christopher L. Chappell			
Art Unit	2662			
Examiner Name	Hassa Kizou			
Attorney Docket Number	42390P11376			

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
110	ا م	US-6,028,860	02-22-2000	Laubach et al.			
(A)	η	US-5,963,557	10-05-1999	Eng			
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Examiner					Pages, Columns, Lines.					
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ţ⁵				
MC		EP 0774848 A2	11-14-1996	Xerox Corporation						
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Examiner Signature	pla Jes	Date Considered	4/27/04	

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'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449A/PTO Application Number 09/903,334 INFORMATION DISCLOSURE Filing Date July 10, 2001 Christopher L. Chappell STATEMENT BY APPLICANT First Named Inventor Art Unit 2662 (use as many sheets as necessary) **Examiner Name** Hassa Kizou of Sheet Attorney Docket Number 42390P11376

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
1		IEEE 802.16 'Broadband Wireless Access Working Group', XP-00221179, A. Aruncahalam, et al., 5 pages	
ALO		2000 IEEE, "Dynamic bandwidth allocation for the HFC DOCSIS MAC protocol", M. Droubi, et al., pgs 54-60	
RIVM		2001 IEEE, "DOCSIS Cable Modem Technology", D. Fellows, et al., pgs 202-209	
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